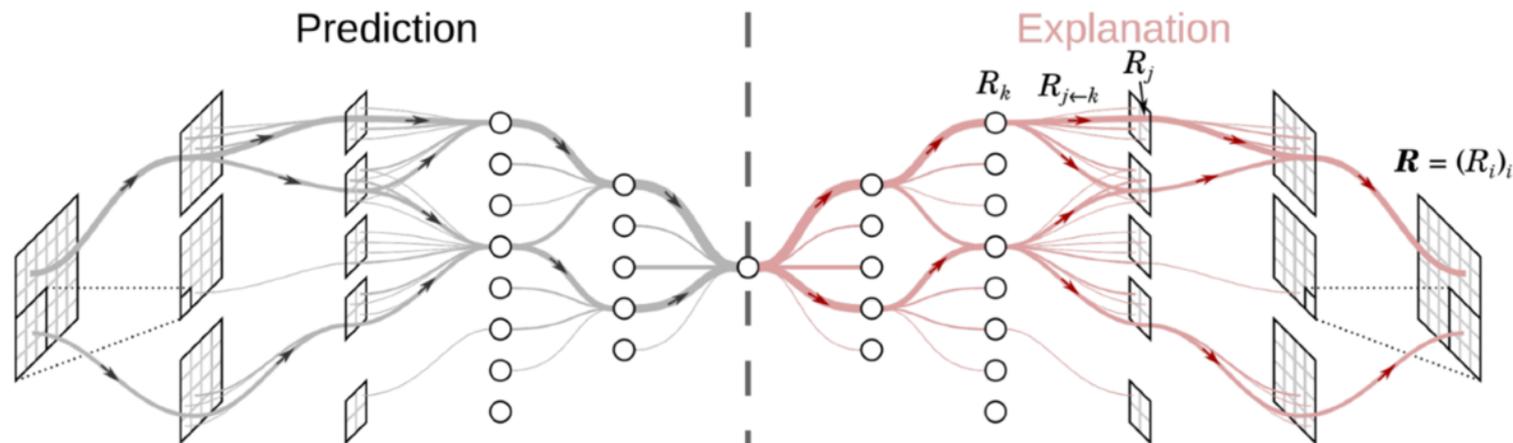


# Concept-Level Explainable AI

Wojciech Samek  
TU Berlin & Fraunhofer HHI



# Between Genius and Clever Hans

Invents new Go strategies



Dermatologist-level cancer classification



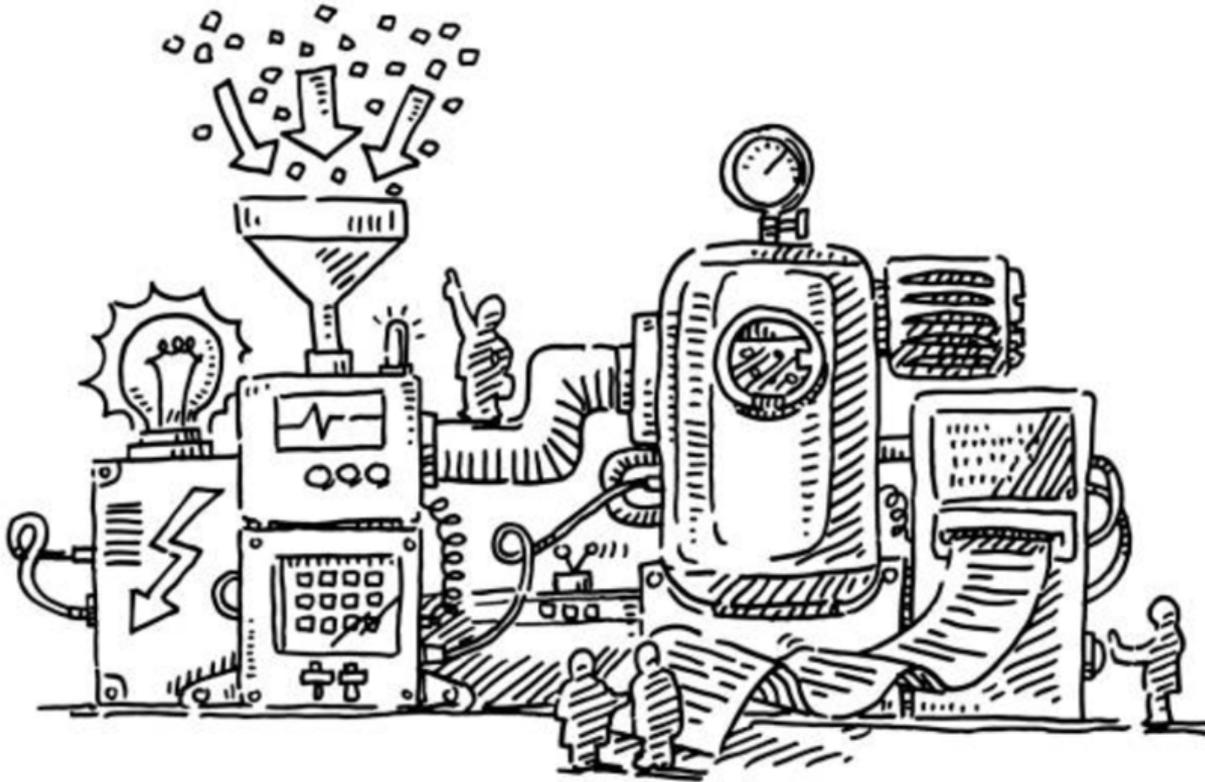
Classifies truck as traffic sign



Predicts risk based on scanner used



# The Black Box Problem



# To Trust or Not To Trust

Can we trust the AI black box without understanding it?

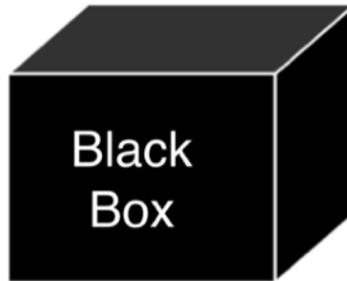
→ No? Then what about drug development?

# Explainable AI

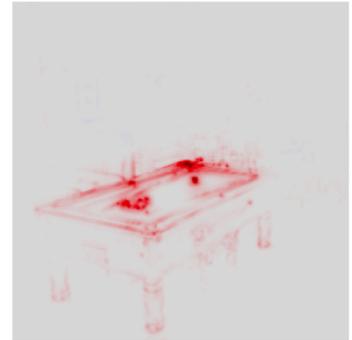
# Explain? Yes We Can



*classify*



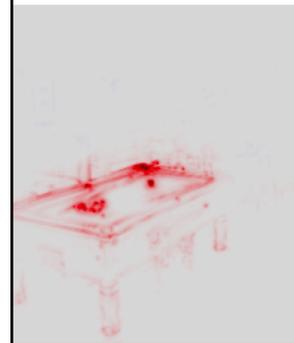
*explain*



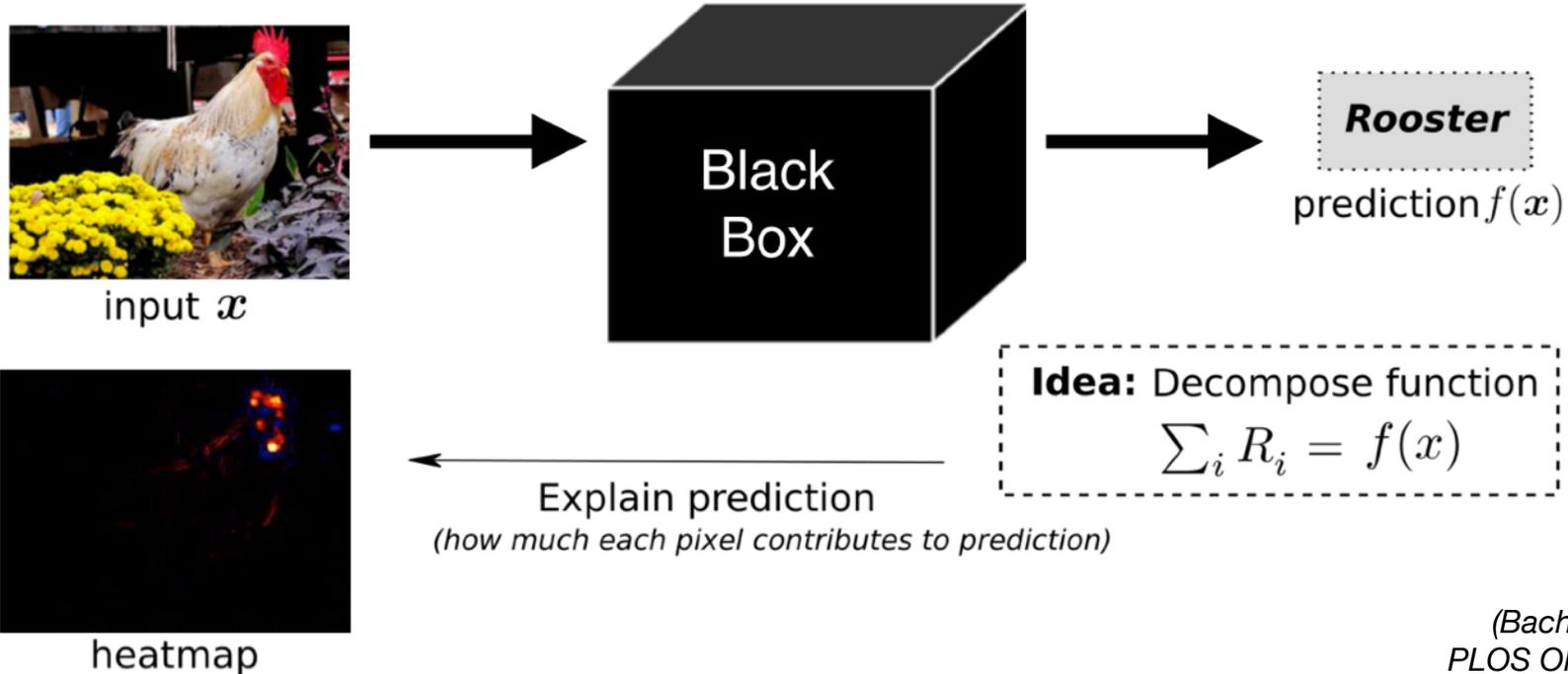
# Explain? Yes We Can



Baehrens'10 Gradient	Sundarajan'17 Int Grad	Zintgraf'17 Pred Diff	Ribeiro'16 LIME	Haufe'15 Pattern
Zurada'94 Gradient	Symonian'13 Gradient	Zeiler'14 Occlusions	Fong'17 M Perturb	Kindermans'17 PatternNet
Poulin'06 Additive	Lundberg'17 Shapley	Bazen'13 Taylor	Montavon'17 Deep Taylor	Shrikumar'17 DeepLIFT
Zeiler'14 Deconv	Landecker'13 Contrib Prop	Bach'15 LRP	Zhang'16 Excitation BP	
Caruana'15 Fitted Additive	Springenberg'14 Guided BP	Zhou'16 GAP	Selvaraju'17 Grad-CAM	



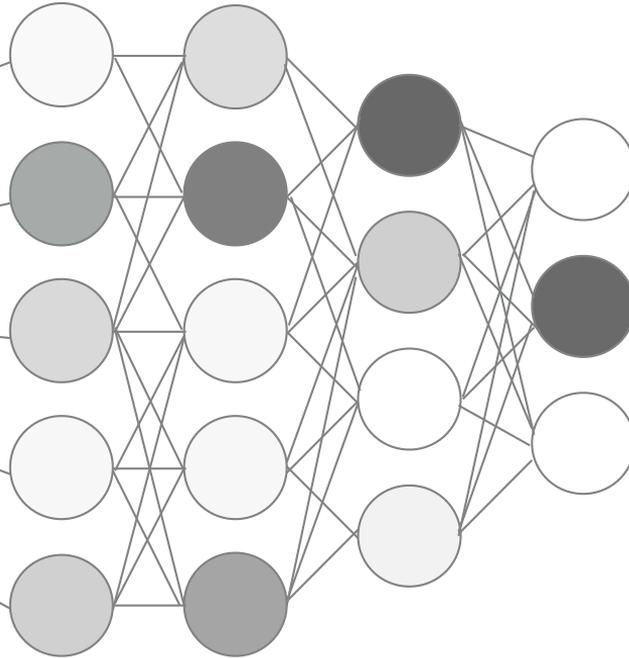
# XAI 1.0: Layer-wise Relevance Propagation



**Layer-wise Relevance Propagation** is a general approach to explain predictions of AI.

# XAI 1.0: Layer-wise Relevance Propagation

Classification

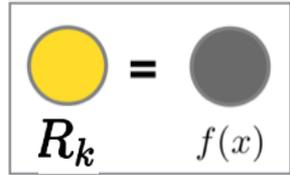


cat

rooster

dog

Initialization



**Idea:** Redistribute the evidence for class rooster back to image space.

# XAI 1.0: Layer-wise Relevance Propagation

how much has  $j$  contributed to activation of  $k$

## LRP:

(1) decompose

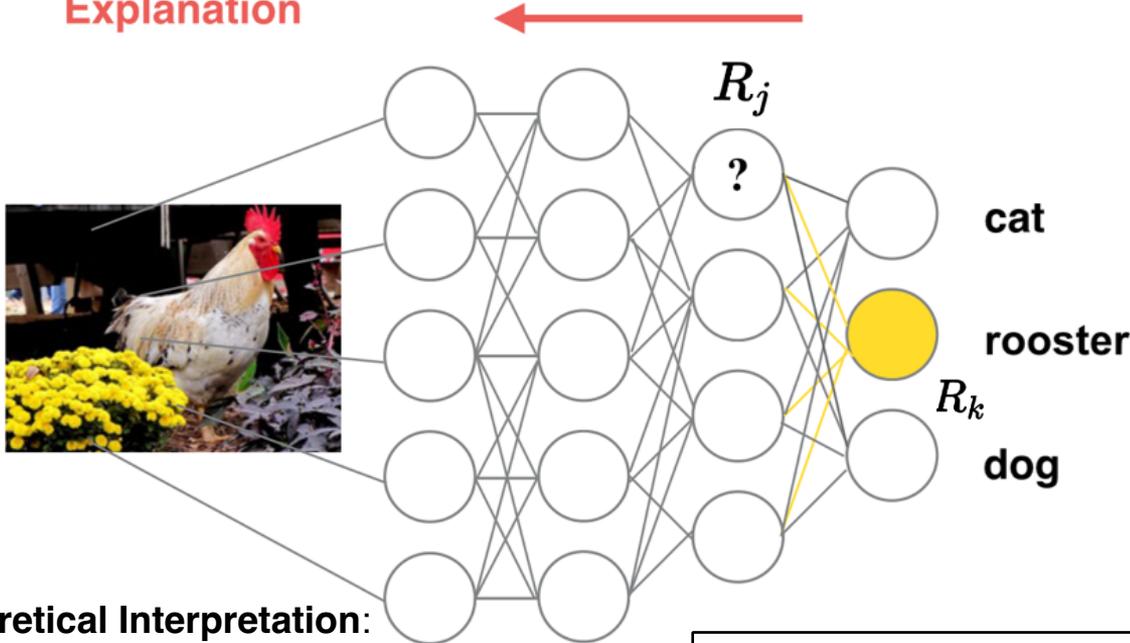
$$R_{j \leftarrow k} = \frac{z_{jk}}{z_k} R_k$$



(2) aggregate

$$R_j = \sum R_{j \leftarrow k}$$

Explanation

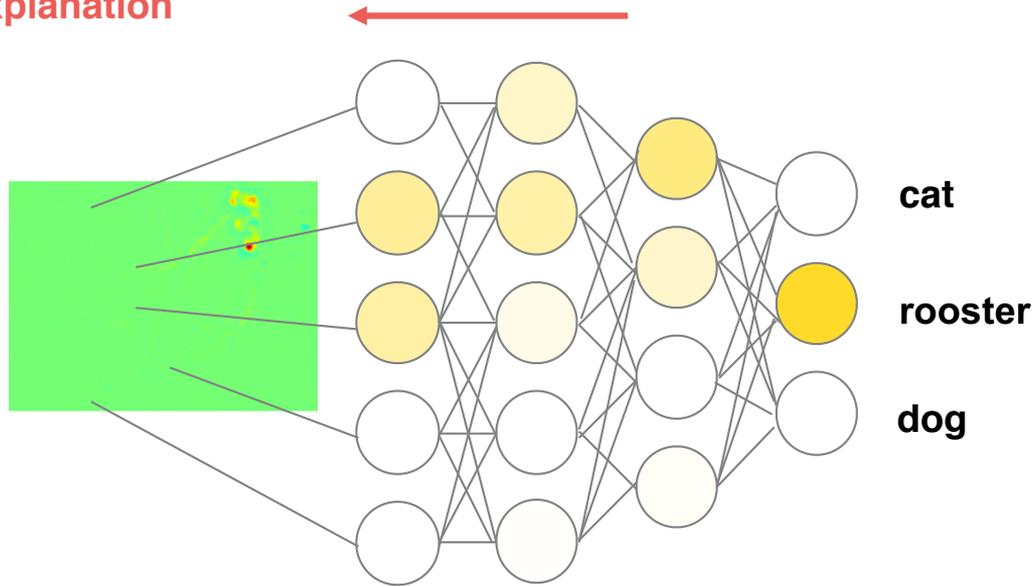


simple LRP rule:  $z_{jk} = a_j w_{jk}$

Theoretical Interpretation:  
Deep Taylor Decomposition

# XAI 1.0: Layer-wise Relevance Propagation

Explanation



Layer-wise relevance conservation

$$\sum_i R_i = \dots = \sum_i R_i^{(l)} = \sum_j R_j^{(l+1)} = \dots = f(x)$$

# PASCAL VOC Challenge (2005 - 2012)

Task: Multi-label classification  
for 20 object classes.

The VOC2011 train/val data has  
11,530 images and 31,561  
objects.



(a) Aero plane



(b) Bicycle



(c) Boat



(d) Bus



(e) Bird



(f) Bottle



(g) Cat



(h) Cow



(i) Car



(j) Chair



(k) Dog



(l) Dining table



(m) Horse



(n) Motorbike



(o) Person



(p) Potted Plant



(q) Sheep



(r) Sofa

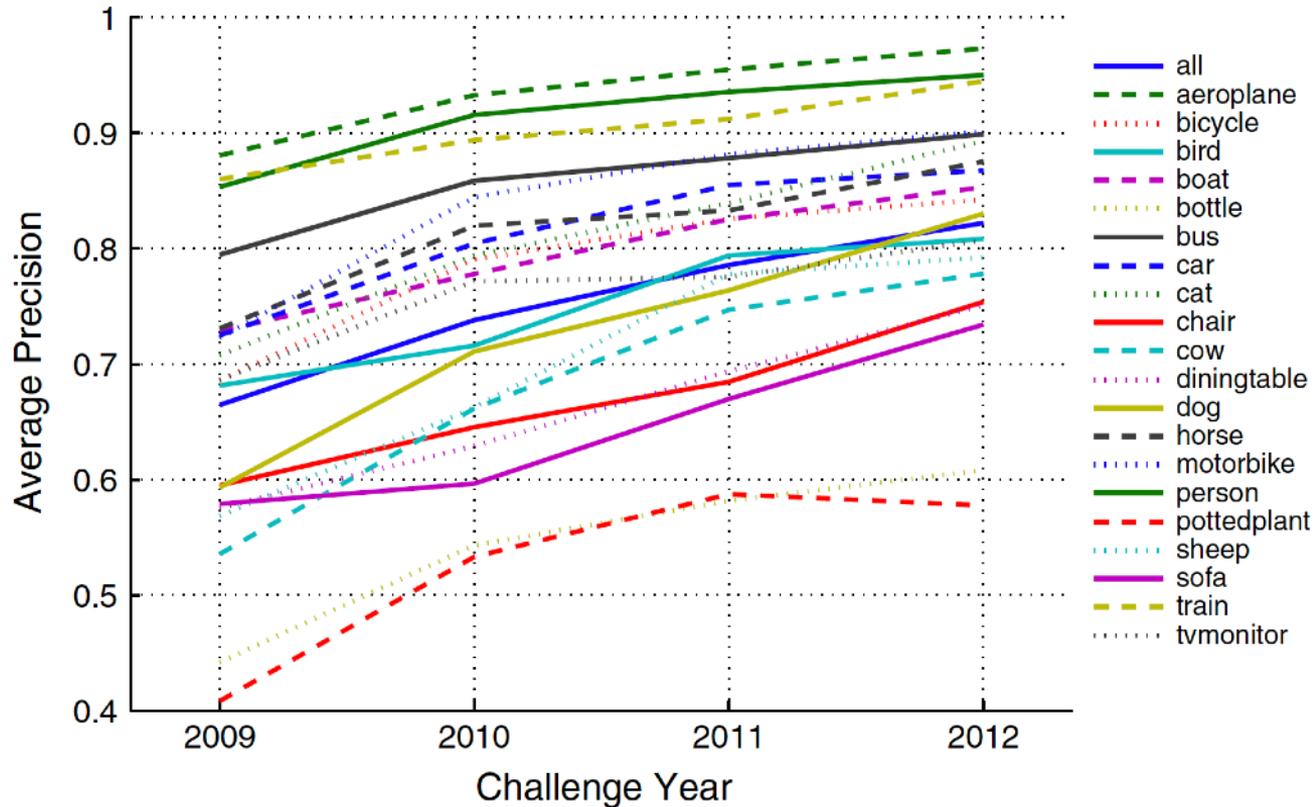


(s) TV monitor



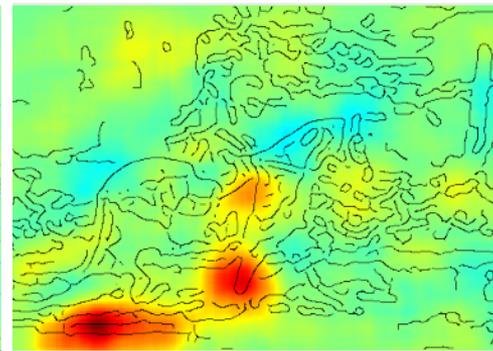
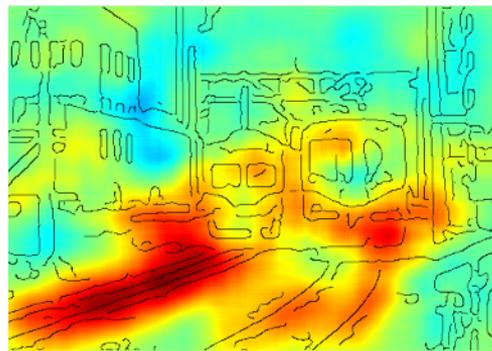
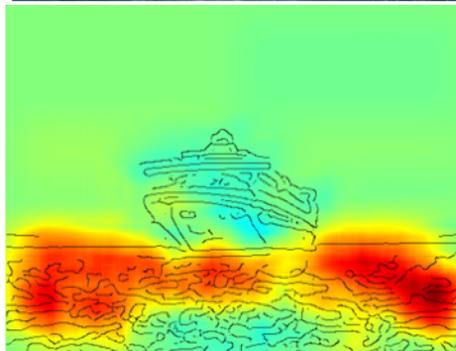
(t) Train

# PASCAL VOC Challenge (2005 - 2012)



# Unmasking Clever Hans Predictors

Leading method (Fisher-Vector / SVM Model) of PASCAL VOC challenge



(Lapuschkin et al.  
2016 & 2019)

# Unmasking Clever Hans Predictors

'horse' images in PASCAL VOC 2007



C: Lothar Lenz  
www.pferdefotoarchiv.de



But how understandable are the explanations ?

# Limitations of Attribution Maps



Interpretation 1:  
"laughing is relevant"

Interpretation 2:  
"color of teeth is relevant"

Interpretation 3:  
"size of teeth is relevant"

# Entering XAI 2.0

## From “Where” to “What”: Towards Human-Understandable Explanations through Concept Relevance Propagation

Reduan Achtibat<sup>1,\*</sup>

Maximilian Dreyer<sup>1,\*</sup>

Ilona Eisenbraun<sup>1</sup>

Sebastian Bosse<sup>1</sup>

Thomas Wiegand<sup>1,2,3</sup>

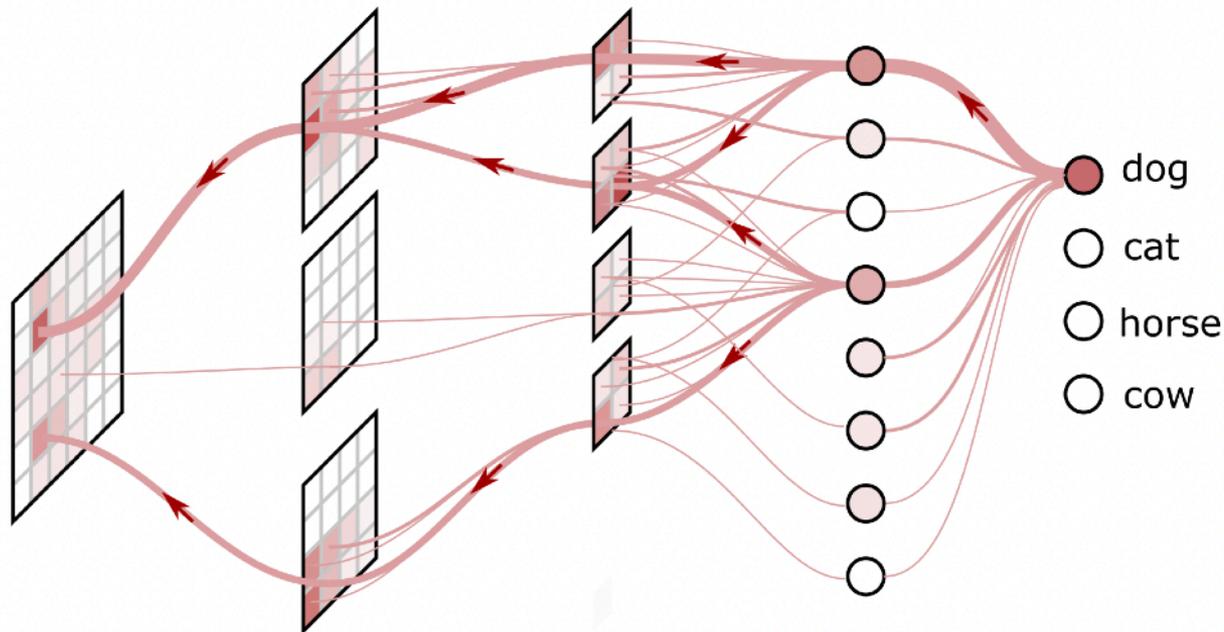
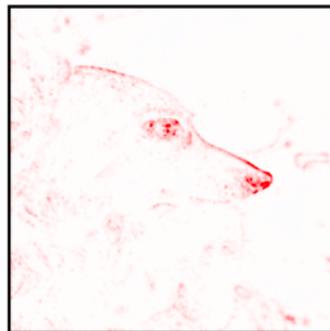
Wojciech Samek<sup>1,2,3,†</sup>

Sebastian Lapuschkin<sup>1,†</sup>

<https://arxiv.org/abs/2206.03208>

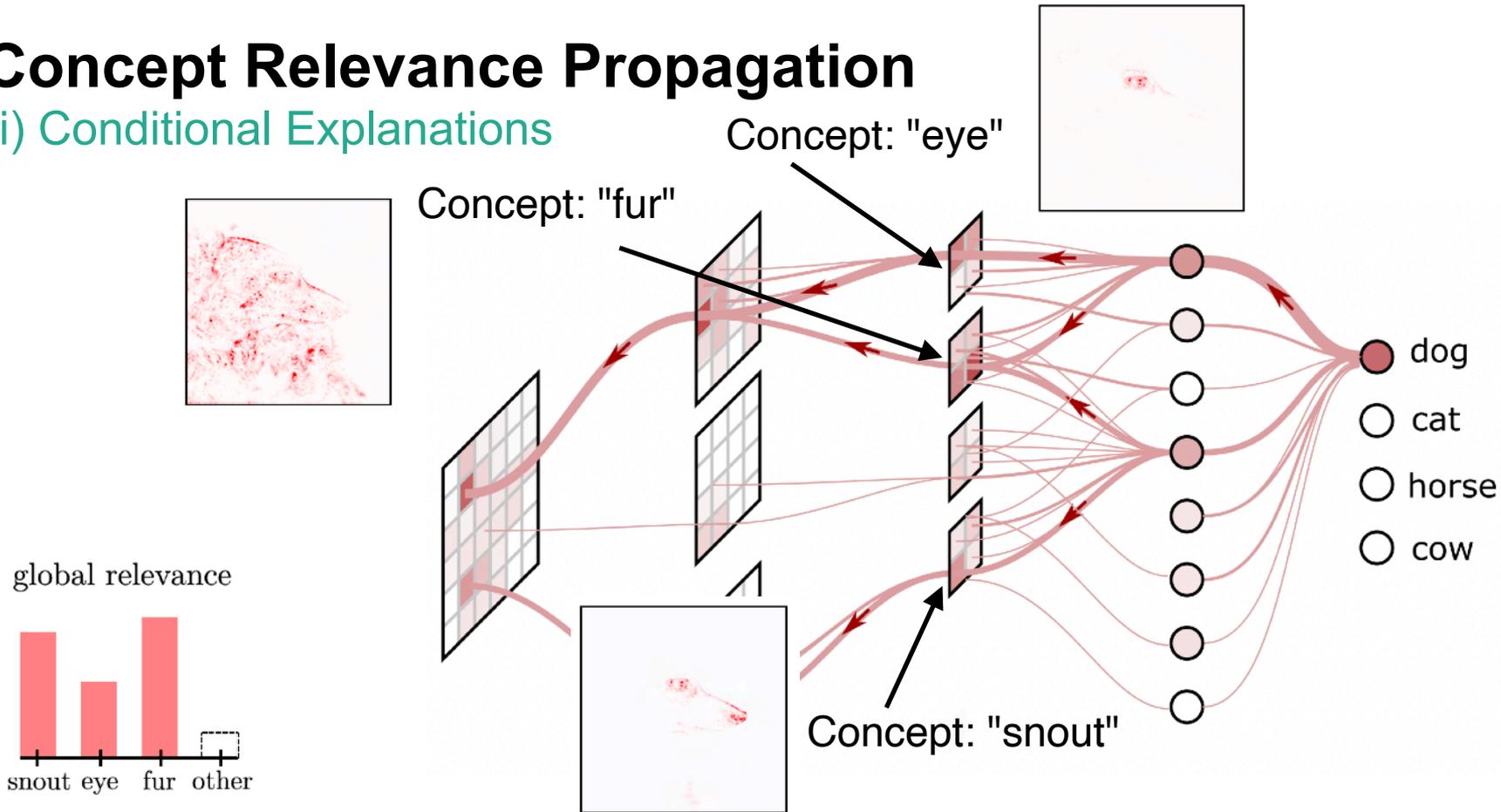
# Concept Relevance Propagation

## (i) Conditional Explanations



# Concept Relevance Propagation

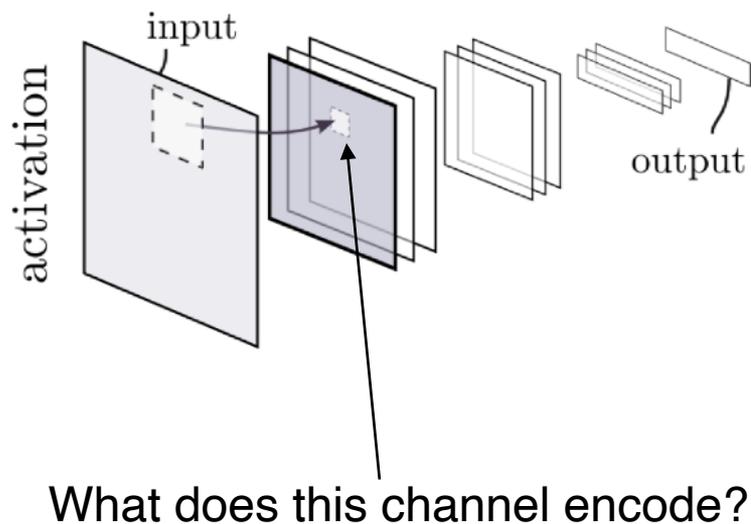
## (i) Conditional Explanations



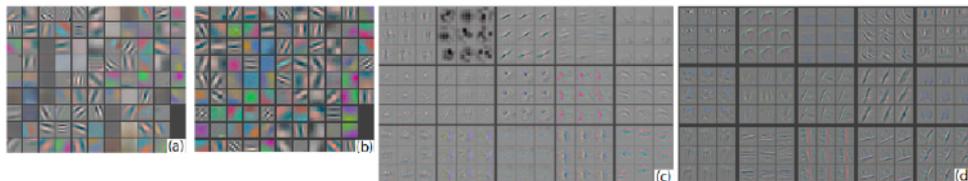
But usually we do not know what concept the channel is encoding?



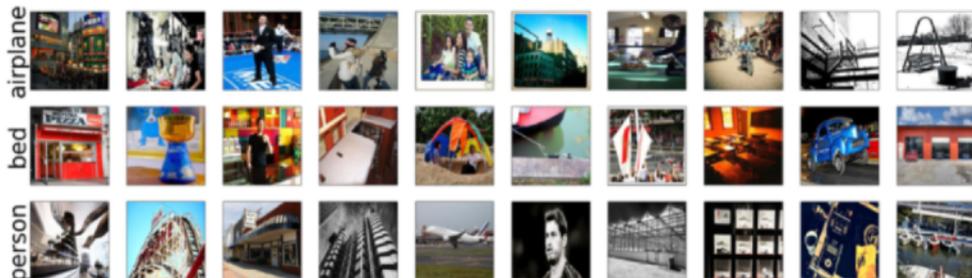
# Addressing the "What"-Question



(Zeiler et al., 2014) feature visualization



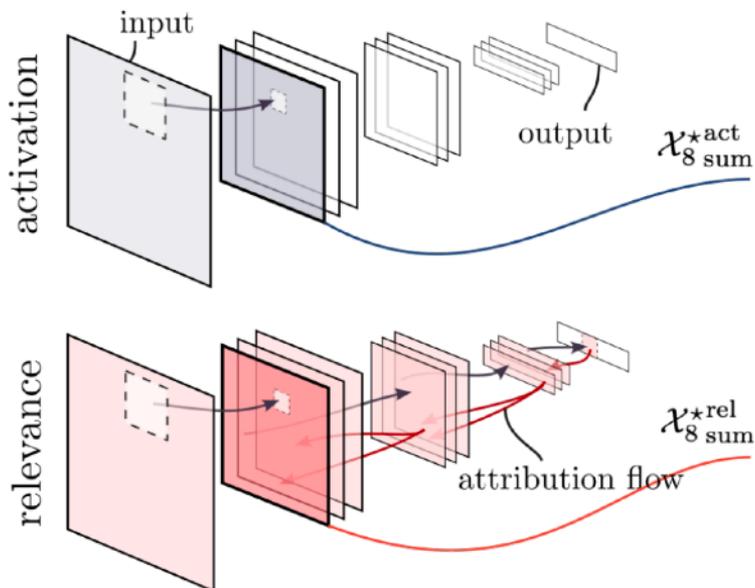
(Chen et al., 2020) data-based activation maximization



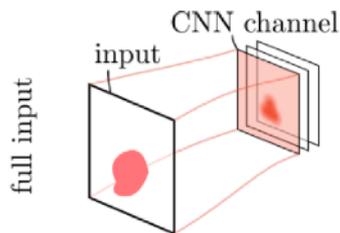
# Concept Relevance Propagation

## (ii) Understand the "What"-Question through ReIMax

activation vs relevance flow  $\longrightarrow$  result in different example sets



# More Insights Into Reference Samples



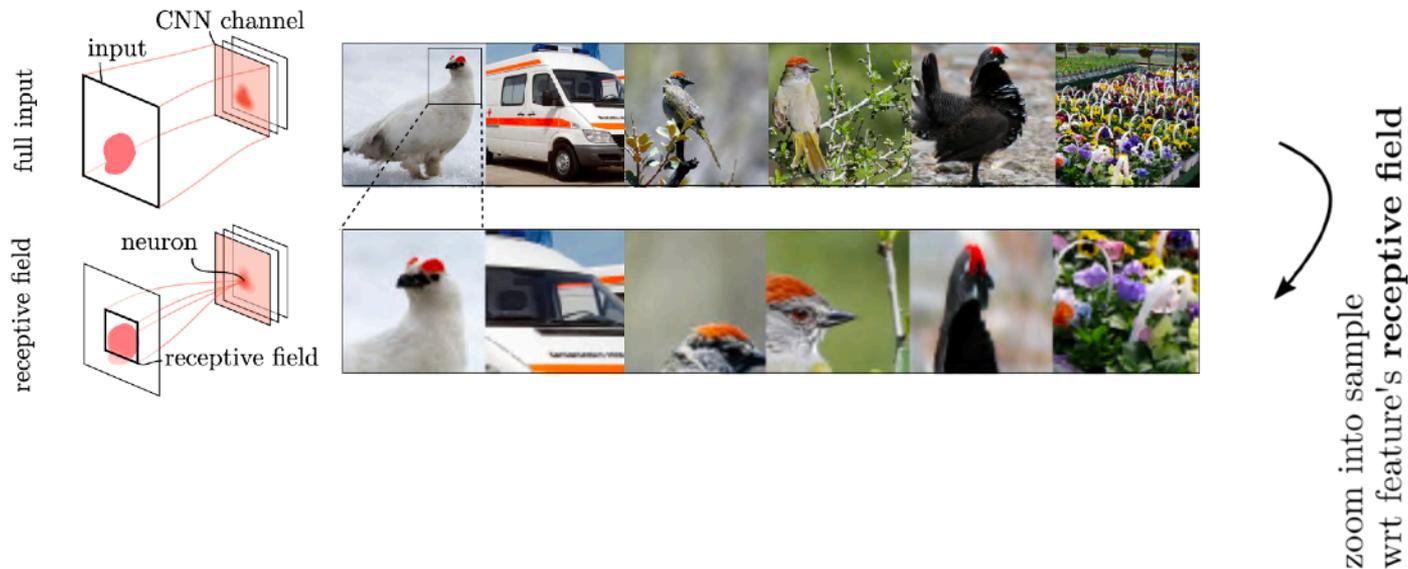
typical scenario in literature:

provide **full input-sized** explanatory examples.

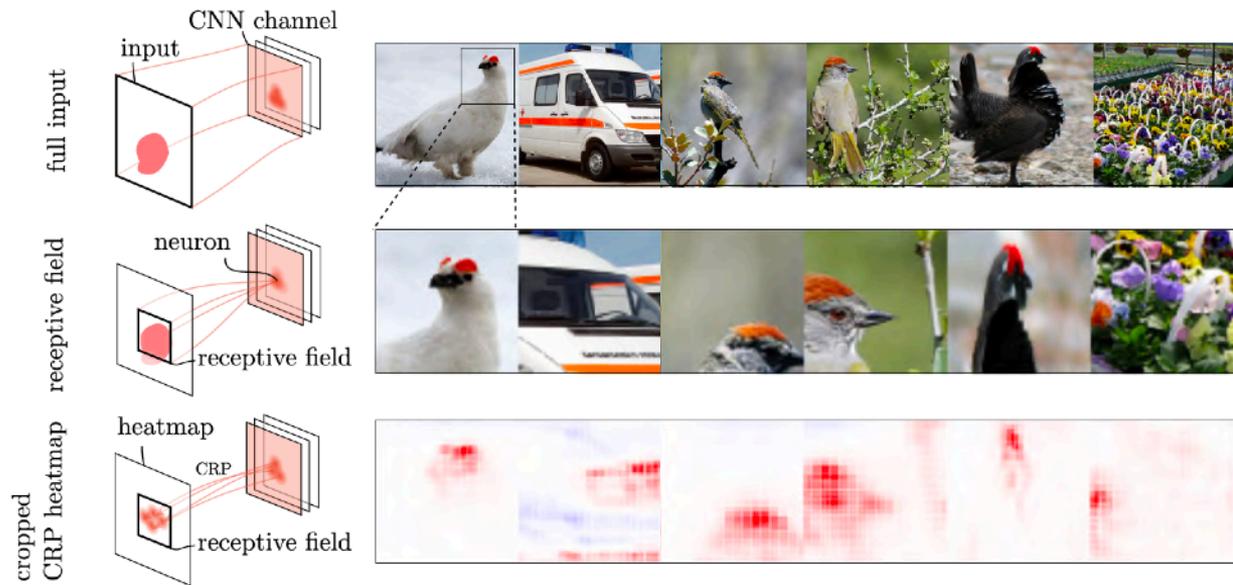


which one is / are the relevant feature(s) ?

# More Insights Into Reference Samples



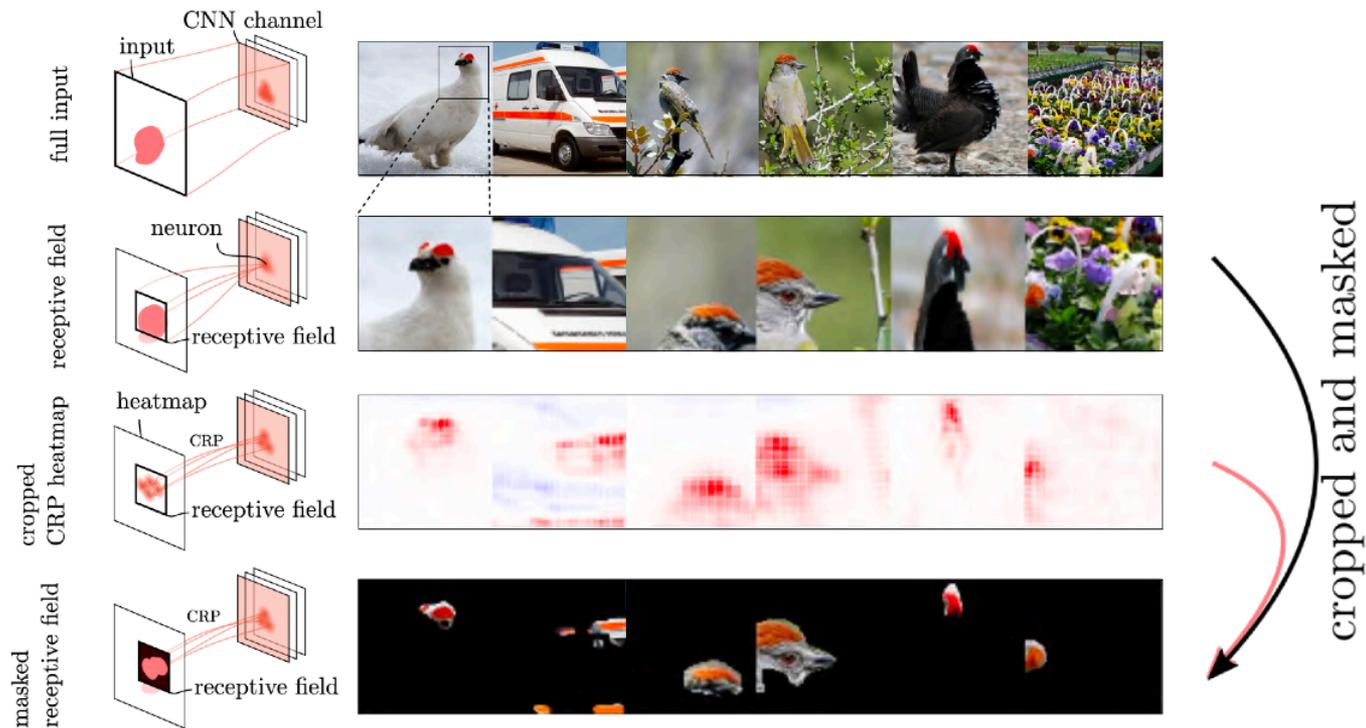
# More Insights Into Reference Samples



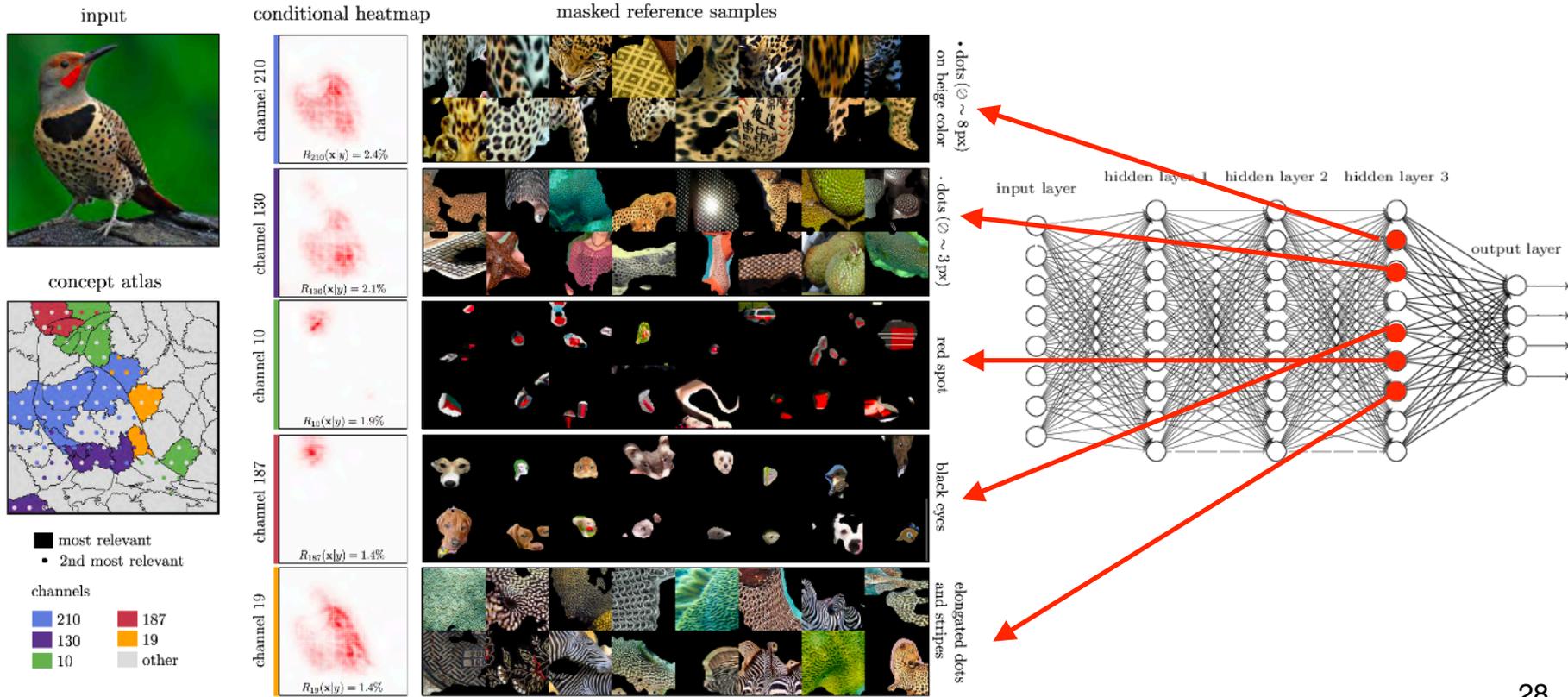
explain examples wrt  
feature output: **increase focus**

# Concept Relevance Propagation

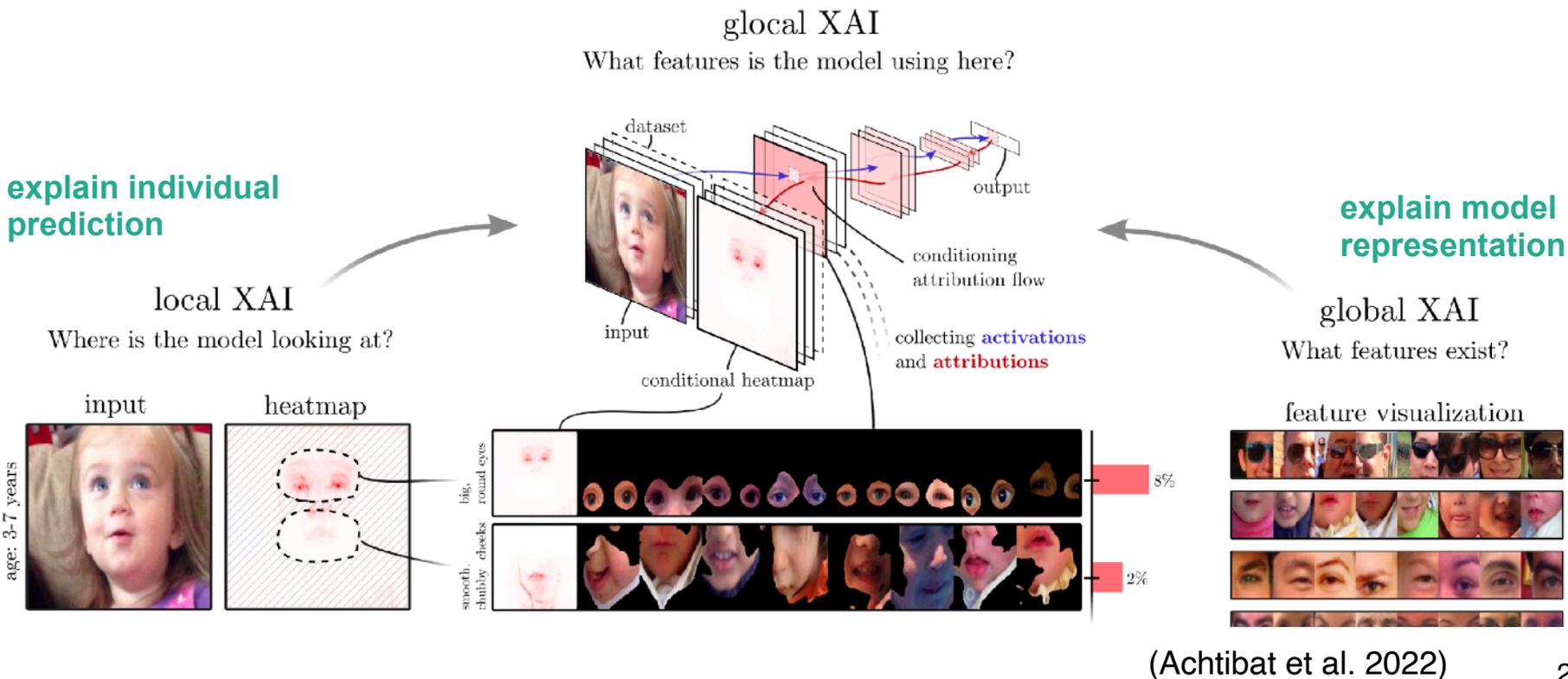
## (iii) Highlight the Key Feature of a Concept



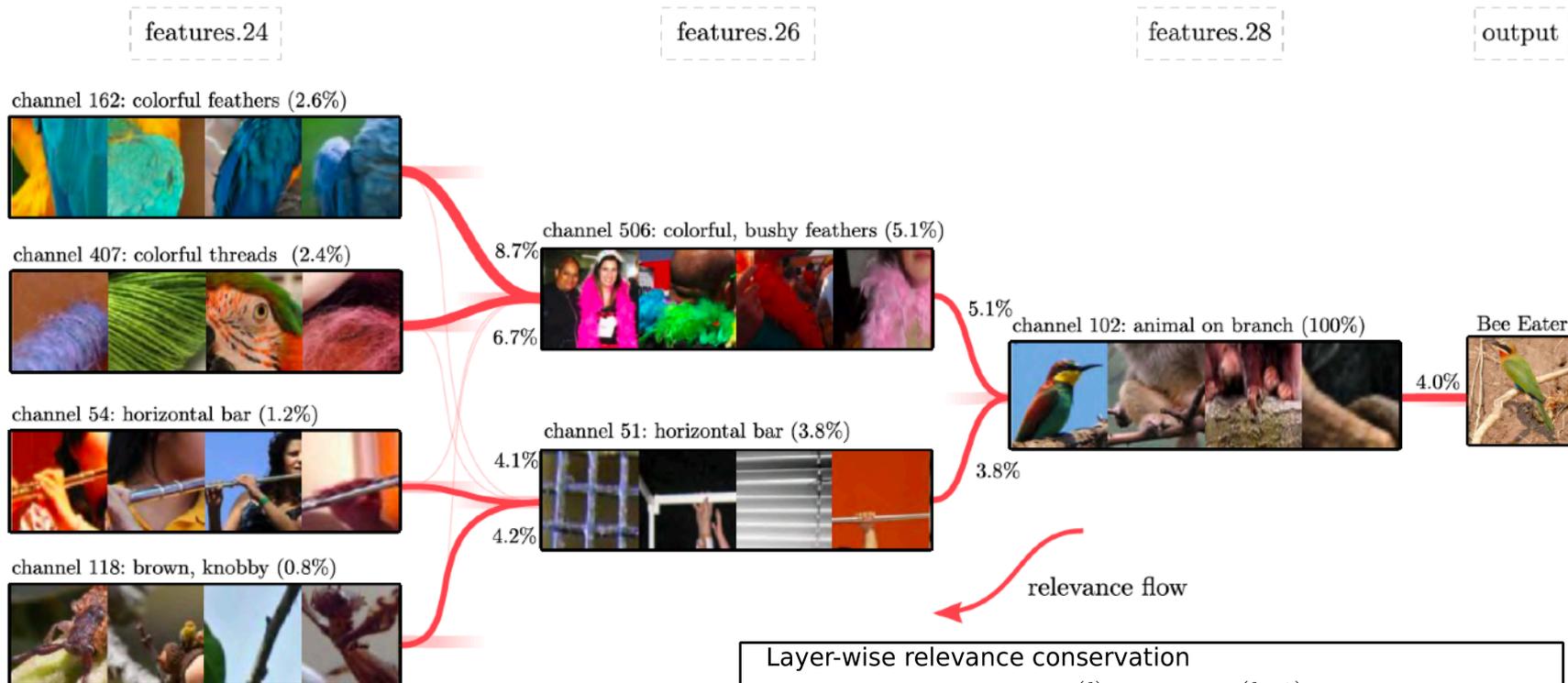
# Concept Atlas



# Concept Relevance Propagation

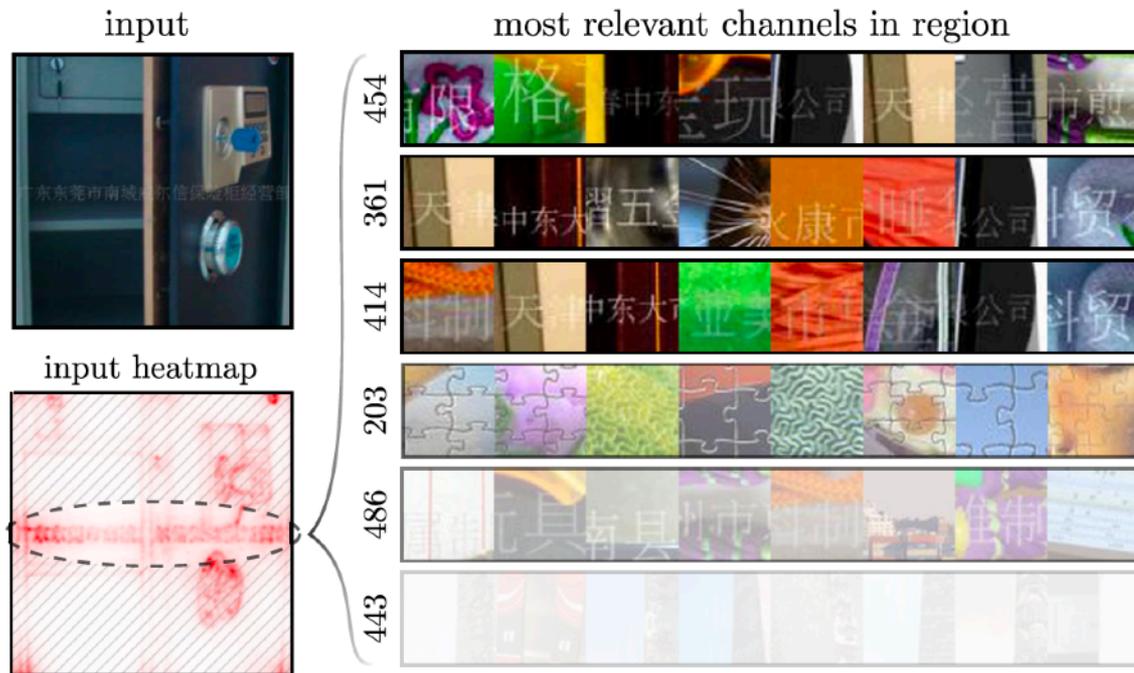


# Concept Composition



# Identifying Clever Hans

## Concept-based Reverse Search



# Identifying Clever Hans

## Concept-based Reverse Search

whistle



mob



screw



mosquito net



can opener



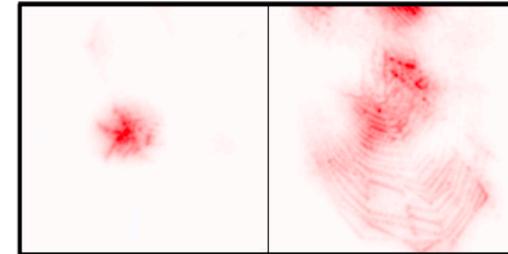
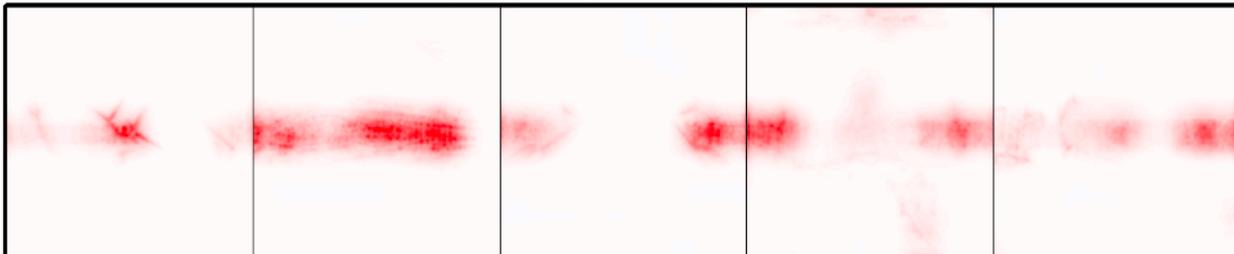
puma



spiderweb



conditional heatmap  $R(\mathbf{x}|\theta = \{c_{361}, y\})$



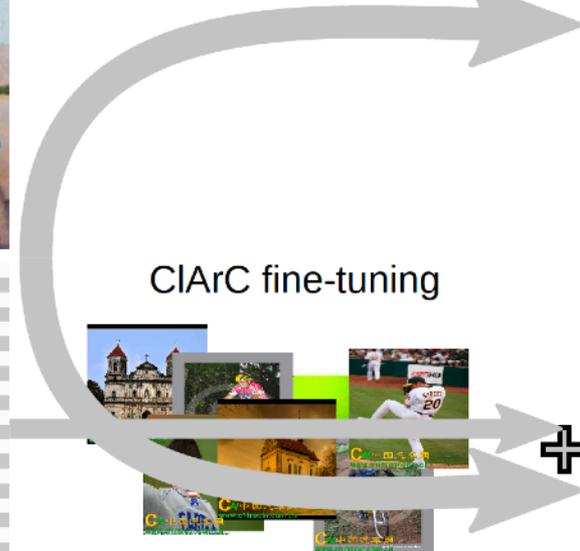
**Fixing the Model:** Adapt encoding space globally [Anders, Weber, et al. 2022] or rather outcome-dependently?

# From Explainable to Trustworthy Models

# Unhansing



unmodified fine-tuning

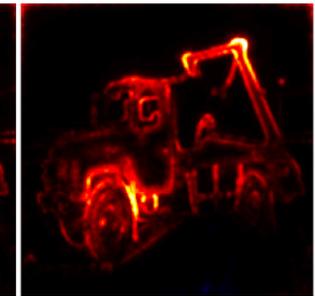
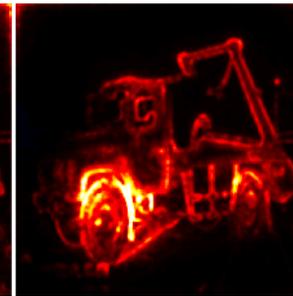
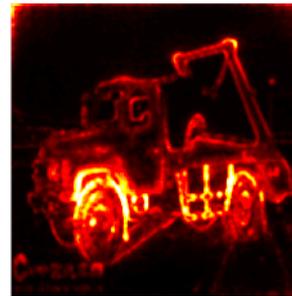
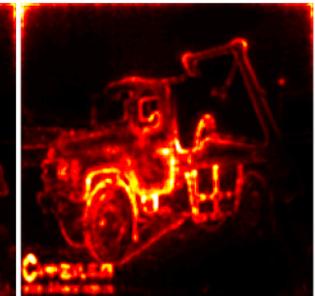
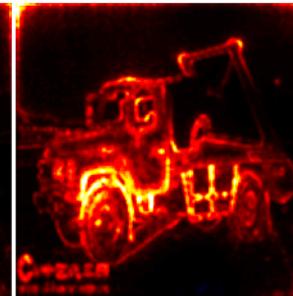
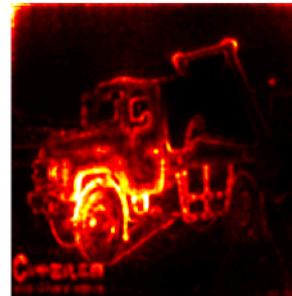


CIArC fine-tuning

1 epoch

5 epochs

10 epochs

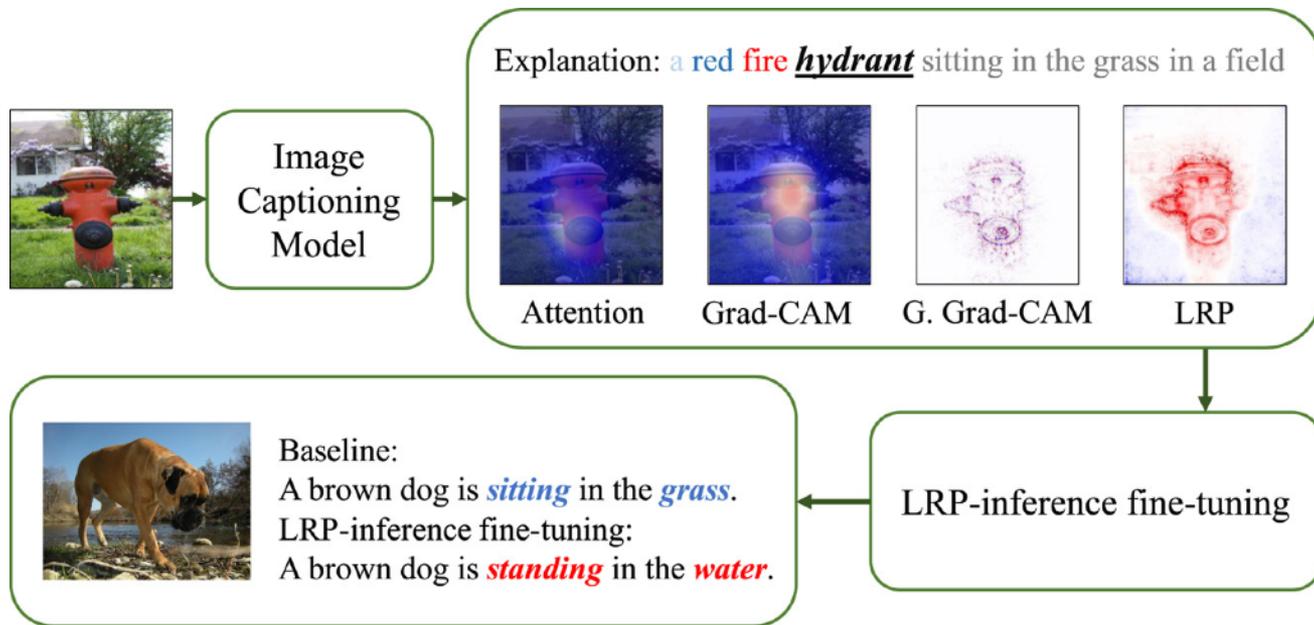


Isolate artefact, add to *other/all* classes, re-train model. (Anders et al. 2022)

# Explanation-Guided Training

Goal: Guide the model to be grounded on image evidence when predicting frequent words.

$$\mathcal{L} = \lambda \mathcal{L}_{ce}(\mathbf{p}, \mathbf{y}) + (1 - \lambda) \mathcal{L}_{ce}(\hat{\mathbf{p}}, \mathbf{y})$$



[Sun et al. 2022]

# Explanation-Guided Training



Baseline: A blond woman in a blue *shirt* is riding a *bike* in a crowd.

LRP-IFT: A blond woman in a blue *tank top* is sitting on a bench in a crowd.



Baseline: A man in a jean jacket is holding a *cellphone* in his arms.

LRP-IFT: A young boy in a green jacket is standing in front of a library.



Baseline: *Two young boys* are playing with toys on a floor.

LRP-IFT: *A baby* in a white shirt is playing with a game.



Baseline: A group of people are standing on a beach.

LRP-IFT: A group of people are standing on a *boardwalk* in the beach.



Baseline: A brown dog is *sitting* in the *grass*.

LRP-IFT: A brown dog is *standing* in the *water*.



Baseline: A group of people sitting around a table with a *cake*.

LRP-IFT: A group of people playing a *video game* in a living room.

# Explanation-Guided Training

## Beyond Explaining: Opportunities and Challenges of XAI-Based Model Improvement

Leander Weber<sup>1</sup>, Sebastian Lapuschkin\*<sup>1</sup>, Alexander Binder<sup>2, 4</sup>, and Wojciech Samek\*<sup>1, 3</sup>

<sup>1</sup>Department of Artificial Intelligence, Fraunhofer Heinrich Hertz Institute, 10587 Berlin, Germany

<sup>2</sup>ICT Cluster, Singapore Institute of Technology, 138683 Singapore, Singapore

<sup>3</sup>BIFOLD – Berlin Institute for the Foundations of Learning and Data, Berlin, Germany

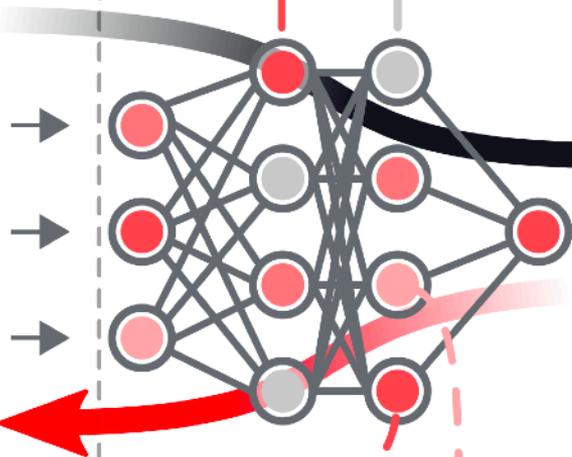
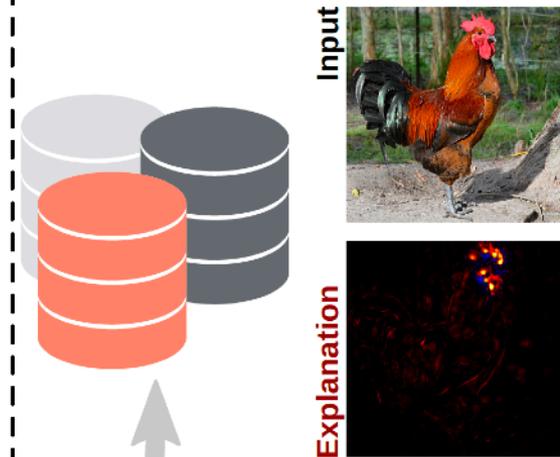
<sup>4</sup>Department of Informatics, University of Oslo, 0373 Oslo, Norway

# Conclusion

# MODEL LEVEL XAI

relevant and irrelevant  
model components

# DATA(SET) LEVEL XAI



validate & foster trust  
predicted class:  
"Rooster"

# HUMAN LEVEL XAI

understand & update data

... "mainly because of its red comb and throat wattles."

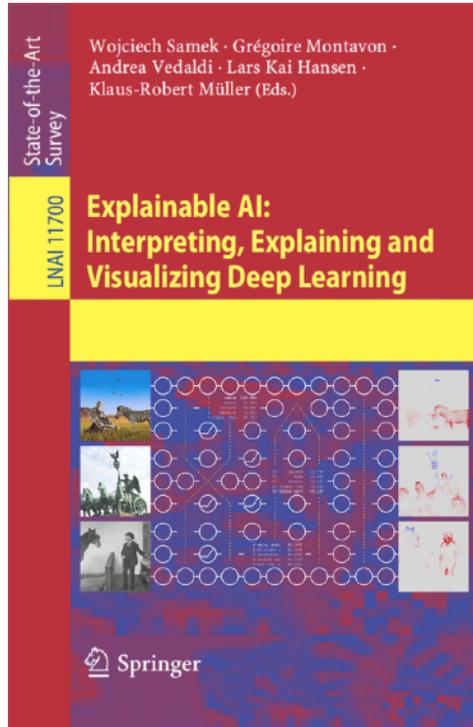


Secondary indicators are feather-like structures."

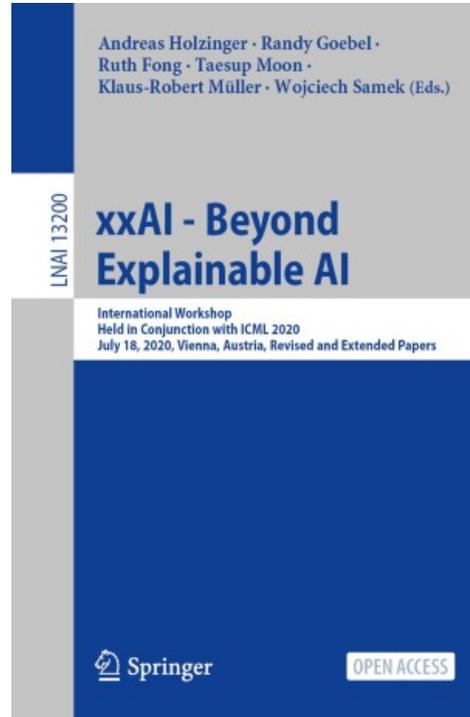


author of icon: iconixar  
www.flaticon.com

# From XAI to XXAI



(2019)



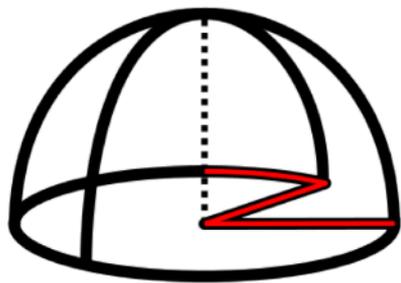
(2022)

New directions in XAI:

- Explain & Improve
- Concept-Level XAI
- Regression, RL, Unsup. L.
- Non-interpretable domains
- Beyond Explaining

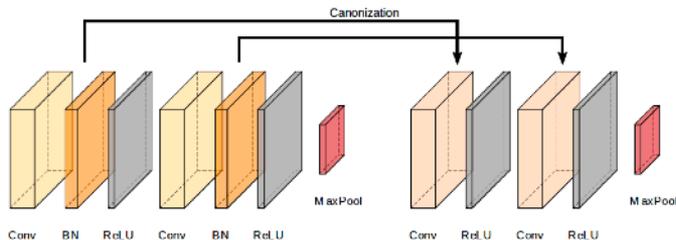
...

# Toolboxes



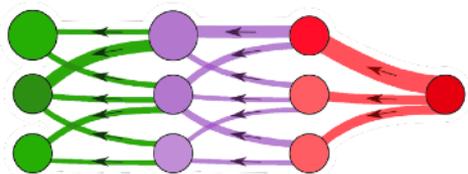
zennit

## Canonization



QUANTUS

iNNvestigate



# ExplainableAI.jl

Refs: [Alber *et al.* 2019; Anders, Neumann, *et al.* 2021; Motzkus *et al.* 2022; Hedström *et al.* 2022; Hill 2022]

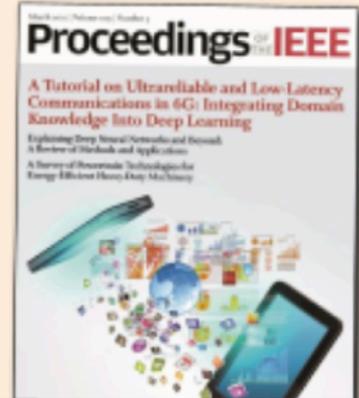
# References

W Samek, G Montavon, S Lapuschkin, C Anders, KR Müller

## [Explaining Deep Neural Networks and Beyond: A Review of Methods and Applications](#)

Proceedings of the IEEE, 109(3):247-278, 2021

With the broader and highly successful usage of machine learning (ML) in industry and the sciences, there has been a growing demand for explainable artificial intelligence (XAI). Interpretability and explanation methods for gaining a better understanding of the problem-solving abilities and strategies of nonlinear ML, in particular, deep neural networks, are, therefore, receiving increased attention. In this work, we aim to: 1) provide a timely overview of this active emerging field, with a focus on “post hoc” explanations, and explain its theoretical foundations; 2) put interpretability algorithms to a test both from a theory and comparative evaluation perspective using extensive simulations; 3) outline best practice aspects, i.e., how to best include interpretation methods into the standard usage of ML; and 4) demonstrate successful usage of XAI in a representative selection of application scenarios. Finally, we discuss challenges and possible future directions of this exciting foundational field of ML.



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## Tutorial / Overview Papers

- W Samek, G Montavon, S Lapuschkin, C Anders, KR Müller. [Explaining Deep Neural Networks and Beyond: A Review of Methods and Applications](#)  
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- A Holzinger, A Saranti, C Molnar, P Biece, W Samek.: [Explainable AI Methods - A Brief Overview](#)  
xxAI - Beyond Explainable AI, Springer LNAI, 13200:13-38, 2022 [[bibtex](#)]
- G Montavon, W Samek, KR Müller. [Methods for Interpreting and Understanding Deep Neural Networks](#)  
Digital Signal Processing, 73:1-15, 2018 [[bibtex](#)]
- W Samek, T Wiegand, KR Müller. [Explainable Artificial Intelligence: Understanding, Visualizing and Interpreting Deep Learning Models](#)  
ITU Journal: ICT Discoveries, 1(1):39-48, 2018 [[preprint](#), [bibtex](#)]
- W Samek, KR Müller. [Towards Explainable Artificial Intelligence](#)  
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- G Montavon, A Binder, S Lapuschkin, W Samek, KR Müller. [Layer-Wise Relevance Propagation: An Overview](#)  
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## Explaining Beyond DNN Classifiers

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- G Montavon, J Kauffmann, W Samek, KR Müller. [Explaining the Predictions of Unsupervised Learning Models](#) *xxAI - Beyond Explainable AI*, Springer LNAI, 13200:117-138, 2022 [[preprint](#), [bibtex](#)]
- A Ali, T Schnake, O Eberle, G Montavon, KR Müller, L Wolf. [XAI for Transformers: Better Explanations through Conservative Propagation](#) *arXiv:2202.07304*, 2022
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